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S E C R E T SECTION 1 OF 2 USNATO 4507

E.O. 11652: GDS

TAGS: PFOR, NATO, MNUC, NPG

SUBJECT: NPG-FRG PAPER ON PHASE III

REF: STATE 167549 DTG 162056Z JUL 75

THERE FOLLOWS BELOW THE TEXT OF FRG PAPER ON PROPOSED SCOPE AND
CONDUCT OF PHASE III OF THE FOLLOW-ON USE WORK PROGRAM. BEGIN
TEXT:

SUBJECT: PROPOSED TERMS OF REFERENCE FOR PHASE II OF
THE FOLLOW-ON USE WORK PROGRAMME

I. GENERAL

THIS PROPOSAL COVERS THE ENTIRE PROBLEM AREA
OF THE PHASE III OBJECTIVE WHICH WILL REQUIRE A RELA-
TIVELY LARGE AMOUNT OF WORK.

II. OBJECTIVE/PROBLEM

"CONSOLIDATED STATEMENT OF NATO'S CONCEPT FOR THE WAR
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OF THEATRE NUCLEAR WEAPONS, DRAWING TOGETHER IN ONE
PAPER THE CONCLUSIONS AND GUIDANCE CONTAINED IN THE
BASIC POLICY DOCUMENTS TO DESCRIBE NATO'S THEATRE
NUCLEAR CAPABILITY AS WELL AS POLITICAL AND MILITARY

CONCEPT FOR ITS USE".

III. SCOPE OF PROBLEM

THE FOLLOWING BREADKDOWN IS CONFINED TO THE MOST
ESSENTIAL POINTS WHICH SHOULD BE SPECIFIED WITHIN
THE SCOPE OF THE ABOVE OBJECTVE.

1. THEATRE NUCLEAR CAPABILITY

1.1. TYPE AND STRENGTH/QUANTITY OF FORCES AND MEANS

1.2. EFFECTIVENESS OF FORCES AND MEANS

1.2.1. COMMAND, CONTROL AND COMMUNICATIONS

1.2.2 AVAILABILITY AND TIMES OF CONCENTRATION

1.2.3 DEPLOYMENT AND AREAS OF CONCENTRATION

1.2.4. TRAINING STANDARD AND CAPABILITY TO OPERATE AND FIGHT IN A NUCLEAR ENVIRONMENT.

1.3 FLEXIBILITY OF ESCALATION

1.3.1. SPECTRUM OF WEAPONS OPTIONS AVAILABLE

1.3.2. DEGREE OF DISPOSITION OF WEAPONS (WHAT WEAPONS-WHEN-WHERE -HOW-WITH WHAT EFFECTS); PREPLANNING

1.3.3. COMMAND AND CONTROL AND DECISION-MAKING PROCESS (E.G. PRESENTATION OF SITUATION INFORMATION, CON- SULTATION PROCESSES).

2. POLITICAL CONCEPT FOR USE

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2.1 POSSIBLE LEVELS OF AGGRESSION AND SCOPE OF AGGRESSION

2.2. FORMS OF RESPONSE AVAILABLE TO NATO UNDER THE CONCEPT OF FORWARD DEFENCE WITHIN THE OPTIONS OF DIRECT DEFENCE, DELIBERATE ESCALATION, AND GENERAL NUCLEAR RESPONSE.

3.3.1. DIRECT DEFENCE: NUCLEAR RESPONSE FOR BATTLE- FIELD USE INCLUDING CLOSE AIR SUPPORT; USE IN AN EXTENDED GEOGRAPHICAL AREA, INCLUDING SELECTIVE STRATEGIC OPTIONS; MARITIME USE; AND USE IN THE AIR DEFENCE ROLE, INCLUDING AIRBORNE AND SEABORNE SYSTEMS.

2.2.2. DELIBERATE ESCALATION: INITIAL USE; FOLLOW-ON USE; DEMONSTRATIVE USE; USE OF ADMS; USE IN THE AIR DEFENCE ROLE INCLUDING AIRBOREAN AND SEABORNE SYSTEMS; BATTLEFIELD USE, INCLUDING CLOSE AIR SUPPORT; USE IN AN ETENDED GEO-GRAPHICAL AREA, INCLUDING SELECTIVE STRATEGIC OPTIONS; MARITIME USE.

2.2.3. GENERAL NUCLEAR WEAPONS: THE ROLE OF THEATRE NUCLEAR WEAPONS IN COMBINATION WITH US CENTRAL SYSTEMS.

2.2.4. THE ROLE OF THE "THEATRE NUCLEAR OPTION" WITHIN OVERALL DEFENCE.

2.3. READINESS TO ESCALATE

2.3.1 WILL TO DEFEND

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2.3.2 READINESS TO TAKE RISKS

2.3.3. CALCULABILITY OF THE RISK BY POLITICAL CONTROL, DAMAGE LIMITATEION, RESTRICTION OF ACCIDENTAL ESCALATION, CRISIS MANAGEMENT, CNI, CONSULTATION, NUCLEAR GUARANTEE

2.3.4. MUTUAL INTERDEPENDENCE OF WILL TO DEFENCE, RADINESS TO TAKE RISKS, AND CALCULABILITY OF RISK.

3. MILITARY CONCEPT FOR THE USE OF THEATRE NUCLEAR WEAPONS

DESCRIPTION OF THE USE OF THEATRE NCLEAR
CAPABILITIES IN ACCORDANCE WITH THE POLITICAL
CONCEPT (SYNTHESIS OF PARAS 1 AND 2).

RESTROATION OF THE DETERRENT BY DEFENCE AND/OR
DELIBERATE SCALATION AND RESTORATION OF THE
TERRITORIAL INTEGRITY OF NATO.

IV. APPROACH

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R. DEFINITIONS:

EACH OF THE THREE MAIN SECTIONS SHOULD
BE PRECEDED BY DEFINITIONS OF THE TERMS
USED. INASMUCH AS PRACTICABLE AND USE-
FUL IN THIS CONTEXT, THE FOLLWOING TERMS, IN PARTICIICULAR,
SHOULD BE DEFINED IN GREATER DETAIL:

- 1) INITIAL USE (TACTICAL AND SELECTIVE STRATEGIC USE?)
- 2) FOLLOW/ON USE (FOR HOW LONG?).
- 3) BATTLEFIELD USE (OF WHAT EXTENSION?)

4. USE OF EXTENDED GEOGRAPHICAL AREA
(OF WHAT EXTENSION?)

5. PROCEDURE:

AS IN PHASE II, WORK SHOULD BE ACCOM-
PLISHED BY A PILOT GROUP COMPRISING NO
MORE THAN SIX MEMBERS. CHAIRMANSHIP
SHOULD BE OFFEED TO THE US, THE FEDERAL
REPUBLIC OF GERMANY IS PREPARED TO PAR-
TICIPATE IN THIS WORKING GROUP. THE
NATURE OF THE WORK TO BE DONE REQUIRED
THE COOPERATIONOF SACEUR REPRESENTATVE.
THE RESULT OF THIS WORK SHOULD THEN BE
DISCUSSED WITHIN AND APPROVED BY THE
NPG IN FULL SESSION.

V. BACGROUND MATERIAL

1. CURRENT NPG STUDY AND OTHER RELEVANT STUDIES
(NUNN AMENDMENT, PHASE II, AND NWT) HAVE TO BE
TAKEN INTO CONSIDERATION.

2. DOCUMENTS AND GUIDANCE:

MC14/3, MINISTERIAL GUIDANCE, MC 48/3, MC 161,
ATHENS GUIDELINES, PPG, ADM PAPER, GUIDELINES
ON CONSULTATIONS, STRIKE ROLE PAPER, SACEUR GSP,
AND CONSTRAINTS.

IV. GUIDELINES

THE STATEMENTS TO BE FORMULATED WILL NOT REPLACE
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EXISTING GUIDELINES, BUT COULD INDICATE WHERE SUCH
GUIDELINES SHOULD BE REFINED. WHERE IT IS NOT POSSIBLE
TO FIND SOLUTIONS TO THE ABOVE-MENTIONED PROBLEMS OR
TO ARRIVE AT SOLUTIONS WITHIN PHASE III, THESE GAPS
SHOULD BE LEFT TO BE FILLED BY SUBSEQUENT WORK.

VII. TIME FRAME

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